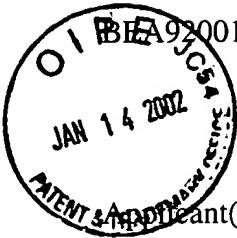


2184 #2
JAN 18 2002
Technology Center 2100



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Donald K. Johnson et al.

Serial No.: 09/944,264

Title: Method for Supporting User Level Online Diagnostics on Linux

File Date: August 30, 2001

Box DD
Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that the enclosed or attached correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

23 October 2001
Date

P. A. Garnett
Plyor A. Garnett, Reg. No.32,136

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Enclosed herewith is a Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

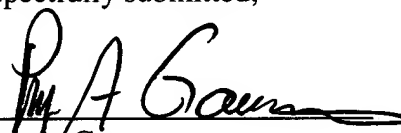
BEA920010018US1

Provision of Copies of References

- ☒ [X] Copies of all cited references are enclosed.
- ☐ [] A copy of the ABSTRACT of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ [] A partial translation of Reference ____ is enclosed herewith and forms a part hereof.
- ☐ [] A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ [] A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.
- ☒ [X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 501336. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: 23 October 2001


Pryor A. Garnett
Registration No. 32,136

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Intellectual Property Law Dept., EDO2-805
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Donald K. Johnson et al.

Serial No.: 09/944,264

Filed: August 30, 2001

Title: Method for Supporting User Level
Online Diagnostics on Linux

Attorney Docket No.: BEA920010018US1

Group Art Unit: 2184

Examiner: Unassigned

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JAN 18 2002
Technology Center 2100

Box DD

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted under 37 CFR 1.97(b) (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last).

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

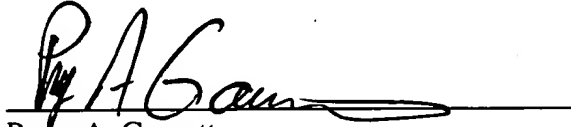
It is requested that the information disclosed herein be made of record in this application.

Date: 23 October 2001

Respectfully submitted,

IBM Corporation
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